



# Delivery Instructions for Securities

Use this form to authorize the electronic transfer of a gift of stock or bonds held at your financial institution to National Philanthropic Trust (NPT); **this form CANNOT be used to donate shares of mutual funds (please use NPT's Letter of Authorization)**. When completed and signed, this form will serve as your transfer instructions to the broker or firm holding the securities you want to donate to National Philanthropic Trust. Additional forms and brochures are available to be printed directly from our website at [www.nptrust.org](http://www.nptrust.org). If you need assistance filling out this form, call us toll-free at **(888) 878-7900**.

- All registered owners of the assets to be donated must sign **Section 3**. Your brokerage firm may require a medallion signature guarantee before it will release the securities to National Philanthropic Trust. (A medallion signature guarantee can be obtained from a bank or broker – **not** from a notary.)
- Send the **signed original** form to the broker or firm holding your securities.
- Send a **copy** of the signed original form, along with the **Donor Application** (for a new program account) or **Additional Contribution Agreement** (for an existing account), to National Philanthropic Trust by mail.

## 1. Current Broker or Firm Information

\_\_\_\_\_  
Name of the Broker or Firm Holding Your Securities

\_\_\_\_\_  
Account Number

\_\_\_\_\_  
Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Contact Name

(\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Daytime Phone Number

## 2. Instructions to the Broker's DTC/Free Delivery Department

Please accept these instructions as the authorization to transfer the assets specified below to National Philanthropic Trust's brokerage account. **Important: Securities should be transferred in-kind and are not to be liquidated.** Send the securities via DTC to UBS Financial Services.

**PY19422**

NPT's Brokerage Account Number

**UBS AG**

Receiving Firm

**0221**

DTC Clearing Number

**Name of Security**

**Number of Shares**

**All Shares**

\_\_\_\_\_

\_\_\_\_\_

OR

\_\_\_\_\_

\_\_\_\_\_

OR

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OR

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OR

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OR

\_\_\_\_\_

\_\_\_\_\_

OR

**3. Signatures** (This section must be signed by all registered owners of the assets to be donated.)

I hereby irrevocably relinquish all rights, title, and interest to the assets specified in **Section 2** of this document. I hereby acknowledge that I have read and understand the terms of the transfer of assets I have requested, and further acknowledge that the terms of this transfer are binding regardless of any other agreement between National Philanthropic Trust and myself.

\_\_\_\_\_  
Name of Owner (first, middle initial, last)

\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
Social Security Number

\_\_\_\_\_  
**Signature**

\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
Date (month, day, year)

\_\_\_\_\_  
Name of Joint Owner (first, middle initial, last)

\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
Social Security Number

\_\_\_\_\_  
**Signature**

\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
Date (month, day, year)

**Signature Guarantee - IF REQUIRED**

Contact your broker to see if you are required to obtain a signature guarantee (a notary public cannot provide a signature guarantee).

**Note:** National Philanthropic Trust cannot override your broker's requirements.

\_\_\_\_\_  
Name Institution and Title

\_\_\_\_\_  
Signature of Authorized Officer

\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
Date (month, day, year)

**Authorized Officer to Place Stamp Here;  
Medallion Required**

**Applies to all signatures in Section 3.**

**Important:**

**1) Send this completed form** to the broker or firm listed in **Section 1**

**AND**

**2) Send a copy** via mail or fax to: National Philanthropic Trust  
165 Township Line Road, Suite 150  
Jenkintown, PA 19046

Fax: (215) 277-3029