



National
Philanthropic
Trust

Your partner in giving

Contribution Agreement

Please provide a **Contribution Agreement** each time you contribute to your donor-advised fund (DAF) account. You may complete the agreement either by using this form or by logging in to your DAF account at nptgivingpoint.org and selecting **Contribute to Account**. If you are making an initial contribution to establish your DAF, the minimum is \$10,000. National Philanthropic Trust recommends completing this contribution agreement in advance of submitting assets.

If you need assistance, call (888) 878-7900 or email npt@nptrust.org.

1. Donor-Advised Fund Information

Donor-Advised Fund Name

DAF Account Number

Primary Advisor

Prefix

First Name

MI

Last Name

Suffix

2. Asset Ownership Information

Identify all registered owner(s) of the assets being donated. NPT will provide a contribution receipt for federal tax reporting purposes to the person(s) identified. Contribution receipts will be made available on the donor portal.

If the contribution is being made by a Private Foundation or Company, skip to page 3.

Owner of the Asset

Prefix

First Name

MI

Last Name

Suffix

Year of Birth

Street Address

City

State

ZIP

Email

Phone

Does the Owner of the Asset hold a U.S. passport?* Yes No

** Why do we ask this? NPT seeks to verify the identity of each person who makes a contribution to a donor-advised fund at NPT. In accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, we may ask for a copy of a donor's passport or other identifying documents, and we may verify the information we obtain. Additional due diligence is required if the donor does not hold a U.S. passport.*

National Philanthropic Trust (NPT) reserves the right to request a new donor-executed contribution agreement if the contribution is not received within 120 days of submission of the original form.

2. Asset Ownership Information (continued)

Joint Owner of the Asset

Prefix First Name MI Last Name Suffix Year of Birth

Street Address City State ZIP

Email Phone

Does the Joint Owner of the Asset hold a U.S. passport?* Yes No

Trust

For contributions from a trust, the trustee(s) must sign this **Contribution Agreement** and provide either a copy of the trust agreement or a trust certification that identifies all trustees and confirms the authority of the trustee(s) to make distributions from the trust to NPT. NPT's **Trust Certification** form may be used for this purpose.

Name of Trust Agreement Tax ID number/EIN (last 4 digits only) Year of Trust

Name of Trustee

Street Address City State ZIP

Email Phone

Does the Trustee hold a U.S. passport?* Yes No

Authorized Signatory 1

Prefix First Name MI Last Name Suffix Title

Street Address City State ZIP

Email Phone

Does the Authorized Signatory hold a U.S. passport?* Yes No

Authorized Signatory 2

Prefix First Name MI Last Name Suffix Title

Street Address City State ZIP

Email Phone

Does the Authorized Signatory hold a U.S. passport?* Yes No

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2. Asset Ownership Information (continued)

Private Foundation or Company Information

Complete below and attach NPT's **Entity Resolution** form. **Note:** The **Entity Resolution** form is required unless the company previously submitted this form or the **Donor Application for Legal Entities** form to NPT.

Company/Organization Name	Tax ID number/EIN	Year of Organization	
Street Address	City	State	ZIP
Email	Phone		

Authorized Signatory

Prefix	First Name	MI	Last Name	Suffix	Title
Street Address			City	State	ZIP
Email			Phone		

Does the Authorized Signatory hold a U.S. passport?* Yes No

* NPT seeks to verify the identity of each person who makes a contribution to a donor-advised fund at NPT. In accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, we may ask for a copy of a donor's passport or other identifying documents, and we may verify the information we obtain. Additional due diligence is required if the donor does not hold a U.S. passport.

3. Contribution Asset Information

Stocks / Bonds / Mutual Funds / ETFs

PRIOR TO INITIATING A TRANSFER OF THE SECURITIES: Please contact NPT at (888) 878-7900 or npt@npitrust.org for further guidance. A member of our Donor Relations Team will forward to you a *Restricted and Control Stock Questionnaire* that **must be completed prior** to NPT accepting your contribution.

IMPORTANT: If contributing securities, bonds, or mutual funds, please complete the section below.

Please note that the external custodian currently holding the assets may require that you submit a *Letter of Instruction* to initiate the transfer of the assets; if so, please present originals of the completed *Letter of Instruction* to the external custodian and not to NPT.

IF YOU ANSWER “YES” TO ANY OF THE QUESTIONS BELOW, please check “Yes” in the “Restricted/Control” column relative to the specific securities in **the table on the next page**.

- 1. Are any of the securities that you are donating pursuant to this agreement restricted or control securities (as defined in Rule 144 of the US Securities Act of 1933, or the “Securities Act”), and as a condition to future sale into the public market, subject to: (a) reliance on an exemption pursuant to any of Rules 144, 145, or 701 or Regulation S of the Securities Act, or (b) an effective Securities Act registration statement?**
Yes No
- 2. Are any of the securities that you are donating pursuant to this agreement subject to any contractual restrictions on donation and/or sale (e.g., lock-ups, restrictions on who may own the securities, bespoke requirements for transfer)?**
Yes No
- 3. For any of the securities below, are you or have you been at any point in the last 90 days: an officer, director, 10% shareholder (or otherwise a Rule 144 “affiliate”) of the issuer of the securities?**
Yes No
- 4. For any of the securities listed below, are you subject to the issuer’s insider trading policies and/or regulatory black-out periods?**
Yes No
- 5. Were any of the securities listed below acquired other than in an open market purchase? For example, were the shares acquired in a private placement, from an affiliate of the issuer, via a pre-IPO investment or as a distribution in kind.**
Yes No

TRANSFER FUNDING INSTRUCTIONS:

All Depository Trust Company —
eligible and free transactions
should be directed to:

Brokerage Firm: UBS Financial Services
For Benefit of: National Philanthropic Trust
DTC Number: 0221
A/C Title: National Philanthropic Trust
A/C Number: UT51093

Enter Security Details (Asterisk fields in this table are required)

[illegible]

If you are contributing more securities than can fit on this table, please attach a spreadsheet itemizing the securities being contributed. For security reasons, please do not forward a copy of the brokerage statements or other information directly from the transferring account.

3. Contribution Asset Information (continued)

Cash (Wire)

Dollar Amount \$

Wire ID (if known)

Transferring Institution

Send Wire to:

Bank: UBS AG

ABA #: 026007993

A/C Title: UBS Financial Services

A/C Number: 101-WA-258641-000

F/C A/C Title: National Philanthropic Trust

F/C A/C Number: UT51093

Account # at Transferring Institution

Please Note:

It is essential to include all details of these wire instructions in order to properly initiate the transfer of asset(s). Omitting details could delay processing.

Will any portion of your gift originate from a bank account outside of the U.S? Yes No

Check

Check Amount \$

Make payable to: National Philanthropic Trust

Note: On your check memo line, please include your DAF name and account number.

Check Number

Mail check with Contribution Agreement form to:

National Philanthropic Trust

165 Township Line Road, Suite 1200

Jenkintown, PA 19046

Tax ID#: 23-7825575

Grant or Transfer from a Donor-Advised Fund

If this contribution is coming from another donor-advised fund, enter the transferring institution or DAF sponsor information below.

Transferring Institution / DAF Sponsor Name

Estimated Dollar Amount \$

By Check

By Wire

Account # at Transferring Institution

Wire ID (if known)

Other Non-Cash Assets & Cryptocurrency

Further documentation will be required. For assistance, please call National Philanthropic Trust at (888) 878-7900.

4. Acknowledgement of Terms (All donors or trustees named in Section 2 must sign below)

I understand that my gift of the property described in Section 3 is an irrevocable and unconditional contribution when received and accepted by NPT, and that NPT retains exclusive legal control over contributed assets.

By signing below I represent that I will, and undertake to, comply with and fulfill all of my obligations under applicable laws, regulations and any corporate insider trading policies or procedures to which I may be subject, and will not breach such applicable laws, regulations or policies and procedures with respect to any contribution to a DAF. I represent that as of the date of this agreement, unless I have informed NPT in writing, I am not in possession of any material non-public information with respect to any such contributed securities. Further, I represent that (i) I will not make any investment recommendation at a time when I am in possession of any material non-public information with respect to such securities and (ii) I will inform NPT in writing if I am in possession of material non-public information with respect to securities and/or their issuer at the time I transfer or direct a transfer of such securities to NPT. I also agree to provide NPT promptly with all information necessary for NPT to perform its obligations under applicable laws and regulations. I understand that NPT will implement procedures that will limit the sale of contributed securities if I am in possession of material non-public information at the time of transfer.

By signing below, I understand and agree that NPT, in its sole discretion, may decline to accept certain restricted or control securities and/or may decline to accept a contribution until NPT has conducted due diligence on the contribution transaction. I further agree to provide, without cost to NPT, all documentation required by NPT to complete due diligence on my contribution. Furthermore, I acknowledge and agree that there may be a time delay in NPT's processing and acceptance of my contribution as a result of the due diligence process.

I acknowledge that I have read NPT's ***A Guide to Your Donor-Advised Fund*** and agree to the terms and/or conditions contained therein. I certify that, to the best of my knowledge, all information in connection with this contribution agreement is accurate and I will notify NPT in writing of any changes. Additionally, I understand, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

Owner of the Asset/Trustee/Authorized Signatory Signature

Print Name

Date (MM/DD/YYYY)

Joint Owner of the Asset/Trustee/Authorized Signatory Signature

Print Name

Date (MM/DD/YYYY)

RETURN THIS COMPLETED FORM BY MAIL OR EMAIL TO: National Philanthropic Trust

165 Township Line Road, Suite 1200, Jenkintown, PA 19046 | npt@nptrust.org