National Philanthropic Trust

Your partner in giving

Contribution Agreement

Please provide a ***Contribution Agreement*** each time you contribute to your donor-advised fund (DAF) account. You may complete the agreement either by using this form or by logging in to your DAF account at nptgivingpoint.org and selecting *Contribute to Account*. If you are making an initial contribution to establish your DAF, the minimum is $10,000. National Philanthropic Trust recommends completing this contribution agreement in advance of submitting assets.

If you need assistance, call (888) 878-7900 or email npt@nptrust.org.

1. Donor-Advised Fund Information

Donor-Advised Fund Name

DAF Account Number

2. Asset Ownership Information

Identify all registered owner(s) of the assets being donated. If the owner of the assets is a revocable trust and the settlor is living, please complete the Individual Owner section below with the settlor's information. NPT will provide a contribution receipt for federal tax reporting purposes to the person(s) identified and you should consult with your personal advisor(s) if you have any questions, including any tax or trust-related questions. Contribution receipts will be made available on the donor portal.

If the contribution is being made by a Private Foundation or Entity, skip to page 3.

☐ **Individual Owner**

Prefix | First Name | MI | Last Name | Suffix | Year of Birth

Street Address | City | State | ZIP

Email | Phone

Does the Individual Owner hold a U.S. passport?* ☐ Yes ☐ No

** **Why do we ask this?** NPT seeks to verify the identity of each person who makes a contribution to a donor-advised fund at NPT. In accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, we may ask for a copy of a donor's passport or other identifying documents, and we may verify the information we obtain. Additional due diligence is required if the donor does not hold a U.S. passport.*

National Philanthropic Trust (NPT) reserves the right to request a new donor-executed contribution agreement if the contribution is not received within 90 days of submission of the original form.

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2. Asset Ownership Information (continued)

☐ **Joint Owner**

Prefix | First Name | MI | Last Name | Suffix | Year of Birth

Street Address | City | State | ZIP

Email | Phone

Does the Joint Owner hold a U.S. passport?* ☐ Yes ☐ No

☐ **Irrevocable Trust**

For contributions from a trust **(other than a revocable trust which should be included under Individual Owner above)**, the trustee(s) must sign this ***Contribution Agreement*** and provide either a copy of the trust agreement or a trust certification that identifies all trustees and confirms the authority of the trustee(s) to make distributions from the trust to NPT. Notarized verification is required; NPT's ***Trust Certification*** may be used for this purpose.

Name of Trust Agreement | Tax ID number/EIN (last 4 digits only) | Year of Trust

Street Address | City | State | ZIP

Email | Phone

☐ **Trustee 1**

Prefix | First Name | MI | Last Name | Suffix | Title

Street Address | City | State | ZIP

Email | Phone

Does Trustee 1 hold a U.S. passport?* ☐ Yes ☐ No

☐ **Trustee 2**

Prefix | First Name | MI | Last Name | Suffix | Title

Street Address | City | State | ZIP

Email | Phone

Does Trustee 2 hold a U.S. passport?* ☐ Yes ☐ No

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2. Asset Ownership Information (continued)

☐ **Entity Owner**

Entity Name | Tax ID number/EIN | Year of Organization

Street Address | City | State | ZIP

Email | Phone

Authorized Signatory

Prefix | First Name | MI | Last Name | Suffix | Title

Street Address | City | State | ZIP

Email | Phone

Does the Authorized Signatory hold a U.S. passport?* ☐ Yes ☐ No

☐ **Grant or Transfer from a Donor-Advised Fund**

If this contribution is coming from another donor-advised fund, enter the transerring insitution or DAF sponsor information below.

Transferring Institution / DAF Sponsor Name | Estimated Dollar Amount $ | ☐ By Check ☐ By Wire

Account # at Transferring Institution | Wire ID (if known)

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3. Contribution Asset Information

☐ **Stocks / Bonds / Mutual Funds / ETFs**

IMPORTANT: NPT will not initiate the transfer of assets. The asset transfer must be initiated by the institution where the asset is currently held. Please note that the external custodian currently holding the assets may require that you submit a ***Letter of Instruction*** to initiate the transfer of the assets; if so, please present originals of the completed ***Letter of Instruction*** to the external custodian and not to NPT.

IMPORTANT: If you're contributing securities, bonds, or mutual funds, please complete the section below. If you're contributing restricted securities contact NPT at (888) 878-7900 or npt@nptrust.org for further guidance. A member of our Donor Relations Team will forward to you a Restricted and Control Stock Questionnaire that **must be completed prior** to NPT accepting your contribution.

IF YOU ANSWER "YES" TO THE ANY OF THE QUESTIONS BELOW, please check "**Yes**" in the "Restricted/Control" column relative to the specific securities in **the table on the next page**.

1. **Are any of the securities that you are donating pursuant to this agreement restricted or control securities (as defined in Rule 144 of the US Securities Act of 1933, or the "Securities Act"), and as a condition to future sale into the public market, subject to: (a) reliance on an exemption pursuant to any of Rules 144, 145, or 701 or Regulation S of the Securities Act, or (b) an effective Securities Act registration statement?**
   ☐ Yes ☐ No
2. **Are any of the securities that you are donating pursuant to this agreement subject to any contractual restrictions on donation and/or sale (e.g., lock-ups, restrictions on who may own the securities, bespoke requirements for transfer)?**
   ☐ Yes ☐ No
3. **For any of the securities below, are you or have you been at any point in the last 90 days: an officer, director, 10% shareholder (or otherwise a Rule 144 "affiliate") of the issuer of the securities?**
   ☐ Yes ☐ No
4. **For any of the securities listed below, are you subject to the issuer's insider trading policies and/or regulatory black-out periods?**
   ☐ Yes ☐ No
5. **Were any of the securities listed below acquired in other than open market purchases and either (a) not fully paid for at the time of acquisition or (b) held by you for a period of less than one year?**
   ☐ Yes ☐ No

TRANSFER FUNDING INSTRUCTIONS:
All Depository Trust Company —
eligible and free transactions
should be directed to**:**

Brokerage Firm: UBS Financial Services
For Benefit of: National Philanthropic Trust
Depository Trust Company (DTC) #: 0221
Account (A/C) Title: National Philanthropic Trust
Account (A/C) #: UT51093

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3. Contribution Asset Information (continued)

Enter Security Details (Asterisk fields in this table are required)

Will any portion of your gift originate from a bank account outside of the U.S? ☐ Yes ☐ No

If you are contributing more securities than can fit on this table, please attach an excel spreadsheet itemizing the securities being contributed. For security reasons, please do not forward a copy of the brokerage statements or other information directly from the transferring brokerage account.

If you answer "**Yes**" to any question on previous page, please check "**Yes**" in the "Restricted/Control" column below**.**

Security Name* (Stocks/ Bonds/Mutual Funds/ETFs)	Ticker/ CUSIP*	Number of Shares*	Estimated Value*	Account Number*	Acquisition Date	Restricted & Control Stock*
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No

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3. Contribution Asset Information (continued)

☐ **Cash (Wire)**

Dollar Amount $

Wire ID (if known)

Transferring Institution

Account # at Transferring Institution

Send Wire to:
Bank: UBS AG
American Bankers Association (ABA) #: 026007993
Account (A/C) Title: UBS Financial Services
Account (A/C) #: 101-WA-258641-000
For Further Credit (FFC) Account (A/C) Title: National Philanthropic Trust
For Further Credit (FFC) Account (A/C) #: UT51093

Please Note: It is essential to include all details of these wire instructions in order to properly initiate the transfer of asset(s). Omitting details could delay processing.

Will any portion of your gift originate from a bank account outside of the U.S? ☐ Yes ☐ No

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☐ **Check**

Check Amount $

Check Number

Make payable to: National Philanthropic Trust
Tax ID#: 23-7825575

Note: On your check memo line, please include your DAF name and account number.

Mail check via USPS to:
Chicago Lockbox
NPT Donor-Advised Fund Programs
P.O. Box 7412735
Chicago, IL 60674-2735

Mail check via FedEx, UPS, etc. (Non-USPS) **to:**
Bank of America Lockbox Services
National Philanthropic Trust 12735
540 W. Madison, 4th Floor
Chicago, IL 60661

☐ **Other Non-Cash Assets & Cryptocurrency**

Description of Asset

Further documentation will be required. For assistance, please call National Philanthropic Trust at (888) 878-7900.

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5. Acknowledgement of Terms

I understand that my gift of the property described in Section 3 is an irrevocable and unconditional contribution when received and accepted by NPT, and that NPT retains exclusive legal control over contributed assets.

By signing below I represent that I will, and undertake to, comply with and fulfill all of my obligations under applicable laws, regulations and any corporate insider trading policies or procedures to which I may be subject, and will not breach such applicable laws, regulations or policies and procedures with respect to any contribution to a DAF. I represent that as of the date of this agreement, unless I have informed NPT in writing, I am not in possession of any material non-public information with respect to any such contributed securities. Further, I represent that (i) I will not make any investment recommendation at a time when I am in possession of any material non-public information with respect to such securities and (ii) I will inform NPT in writing if I am in possession of material non-public information with respect to securities and/or their issuer at the time I transfer or direct a transfer of such securities to NPT. I also agree to provide NPT promptly with all information necessary for NPT to perform its obligations under applicable laws and regulations. I understand that NPT will implement procedures that will limit the sale of contributed securities if I am in possession of material non-public information at the time of transfer.

By signing below, I understand and agree that NPT, in its sole discretion, may decline to accept certain restricted or control securities and/or may decline to accept a contribution until NPT has conducted due diligence on the contribution transaction. I further agree to provide, without cost to NPT, all documentation required by NPT to complete due diligence on my contribution. Furthermore, I acknowledge and agree that there may be a time delay in NPT's processing and acceptance of my contribution as a result of the due diligence process.

I acknowledge that I have read NPT's ***A Guide to Your Donor-Advised Fund*** and agree to the terms and/or conditions contained therein. I certify that, to the best of my knowledge, all information in connection with this contribution agreement is accurate and I will notify NPT in writing of any changes. Additionally, I understand, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

_____

Individual Owner/Trustee 1/Authorized Signatory Signature

_____ _____

Print Name Date (MM/DD/YYYY)

_____

Trustee 2 Signature (if applicable)

_____ _____

Print Name Date (MM/DD/YYYY)

RETURN THIS COMPLETED FORM BY MAIL OR EMAIL TO: National Philanthropic Trust
2 Ash Street, Suite 3000, Conshohocken, PA 19428 | npt@nptrust.org

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