National Philanthropic Trust

Donor Application

For Individuals

To establish a donor-advised fund with National Philanthropic Trust (NPT), please first refer to NPT's ***A Guide to Your Donor-Advised Fund*** for an overview of the program and policies.

You may complete the donor application either by using this form or through the online application, beginning with *New User Registration*, at nptgivingpoint.org. Following completion of the donor application, please submit a ***Contribution Agreement***, also available at nptgivingpoint.org to establish a donor-advised fund of $10,000 or more. If you are opening a Bequest Account (an account to be funded at a later date) please contact NPT for more information.

If you need assistance, call (888) 878-7900 or send an email to npt@nptrust.org.

1. Name Your Donor-Advised Fund

Choose a name for your donor-advised fund. You may name it for yourself, for your family (*The Smith Family Charitable Fund*), in memory of someone, or for a particular charitable purpose (*Save the Rain Forests Fund*).

Donor-Advised Fund Name

2. Name Your Advisors

Primary Advisor (Required)

The Primary Advisor is typically the primary donor to the donor-advised fund. The Primary Advisor may recommend grants and investment allocations, view fund information and name successors. The Primary Advisor may designate a Joint Advisor who will have the same level of access to and involvement with the donor-advised fund.

Prefix | First Name | MI | Last Name | Suffix | Year of Birth

Street Address | City | State | ZIP

Country | Email | Phone (Required for online access)

Joint Advisor (Optional)

A Joint Advisor has the authority to recommend grants and investment allocations, view fund information and name successors. The Joint Advisor remains an advisor to the fund in the event of the Primary Advisor's death. A Primary Advisor typically names a spouse, partner, family member or friend as a joint advisor to the donor-advised fund. The Primary Advisor may remove and/or replace the joint advisor at any time, with the written consent of the Joint Advisor.

Prefix | First Name | MI | Last Name | Suffix | Year of Birth

Street Address | City | State | ZIP

Country | Email | Phone (Required for online access)

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Secondary Advisor (Optional)
You may name one or more individuals, such as a relative or friend, as a secondary advisor. Secondary advisors will not succeed the primary nor joint advisors unless specifically named as successors. Secondary advisors with full access may recommend grants and investment allocations. Please make copies of this page if you wish to designate more than two secondary advisors.

Prefix | First Name | MI | Last Name | Suffix | Year of Birth

Street Address | City | State | ZIP

Country | Email | Phone

Secondary Advisor is authorized to:

☐ **Read-only Access:** Permits the authorized party to view donor-advised fund information online **OR**

☐ **Full Access:** Permits the authorized party to view donor-advised fund information online **AND** to recommend investments and grants.

Prefix | First Name | MI | Last Name | Suffix | Year of Birth

Street Address | City | State | ZIP

Country | Email | Phone

Secondary Advisor is authorized to:

☐ **Read-only Access:** Permits the authorized party to view donor-advised fund information online **OR**

☐ **Full Access:** Permits the authorized party to view donor-advised fund information online **AND** to recommend investments and grants.

3. Create a Legacy Plan

The Primary and/or Joint Advisor may select any combination of four Legacy Plan options (subject to the qualifications noted below). Please designate the respective percentages of remaining DAF account assets that you would like distributed upon the notification of the death of the last Primary or Joint Advisor. The total percentage of DAF assets allocated to selected Legacy Plan options must equal 100%.

OPTION 1: NPT GIVING FUND (default Legacy Plan option)	OPTION 2: SUCCESSOR ADVISORS	OPTION 3: CHARITABLE BENEFICIARIES	OPTION 4: ENDOWMENT PROGRAM ($100,000 minimum required)**
Designation: Designate the NPT Giving Fund to receive a grant at the time of activation. **Allocation:** Select the percentage of DAF assets to be granted to the NPT Giving Fund. **Default Option:** The NPT Giving Fund is the default Legacy Plan option. 100% of your DAF account balance will be paid to the NPT Giving Fund upon Legacy Plan activation unless you have selected a different Legacy Plan option.	**Designation:** Name individuals as successor advisors to your DAF. Each will be designated as the primary advisor on a new DAF account. Successor advisors do not need to be 18 years or older at the time that they are added to the Legacy Plan but must be of age in order to exercise advisory privileges. **Allocation:** Select the percentage of the DAF assets to be designated to the new DAF for each successor advisor. **Contingencies:** If the division of assets creates one or more DAF accounts with balances below $10,000, the account will be subject to NPT's charitable administrative fee minimums.	**Designation:** Designate one or more eligible* charities to receive a grant at the time of activation. Legacy Plan grants will not be made utilizing ER or ED, so most non-U.S. organizations will not be eligible Legacy Plan grantees. Subject to minimum grant amount. **Allocation:** Select the percentage of DAF assets to be granted to each named charitable beneficiary. **Contingencies:** If a designated charity is not eligible to receive a grant at the time of activation, designated assets will be reallocated among the remaining eligible* charities. Total allocation must equal 100%. Subject to minimum grant amount. Example: Charity #1 (40% of Account Balance), Charity #2 (60% of Account Balance).	**Designation:** Designate one or more eligible* charities to receive annual grants until the account is spent down. **Allocation:** Select (i) the percentage of endowment account assets to be distributed annually (the "Annual Payout") and (ii) the percentage of the Annual Payout going to each charity. **Contingencies:** If a designated charity is not eligible to receive a grant at the time of activation, designated assets will be reallocated among the remaining eligible charities. Total allocation must equal 100%. Subject to minimum grant amount. *Example: Charity #1 (40% of Annual Payout), Charity #2 (60% of Annual Payout).*

* **Eligible Charities:** *Legacy Plan grants will not be made utilizing Expenditure Responsibility (ER) or Equivalency Determination (ED), so most non-U.S. organizations will not be eligible Legacy Plan grantees. If no designated charity is eligible to receive grants at the time of Legacy Plan activation, the DAF will be distributed in accordance with NPT's Abandoned Accounts policy. Refer to your program donor guide for full details on the policy.*

*** $100,000 minimum required at the time of Legacy Plan activation for Endowment Program eligibility. If available DAF assets are less than $100,000, this Legacy Plan selection will revert to Option 2.*

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3. Create a Legacy Plan (continued)

Option 1: NPT Giving Fund

Option 1 – Allocation Subtotal for NPT Giving Fund:	%

NPT Giving Fund is a grantmaking fund seeking to strengthen the nonprofit sector and enhance the effectiveness, sustainability, and long-term impact of charitable organizations. We believe that investments in nonprofit fundraising capacity, infrastructure, and technology—as well as education, and sector-wide innovation—create multiplier effects that benefit the broader philanthropic ecosystem and support NPT's vision of enabling organizations with the resources they need to advance their missions.

The NPT Giving Fund is the default Legacy Plan option. 100% of your DAF account balance will be paid to the NPT Giving Fund upon Legacy Plan activation unless you have selected a different Legacy Plan option.

☐ Option 2: Successor Advisor

Option 2 – Allocation Subtotal:	%

You may designate individual successors to your DAF. **Note:** Individual Successors do not need to be 18 years or older to be added to the Legacy Plan. Please make copies of this page if you wish to designate more than two successors.

SUCCESSOR 1

Prefix	First Name	MI	Last Name	Suffix	**Allocation %**

Street Address	City	State	ZIP

Email	Phone	Year of Birth

SUCCESSOR 2

Prefix	First Name	MI	Last Name	Suffix	**Allocation %**

Street Address	City	State	ZIP

Email	Phone	Year of Birth

☐ Option 3: Charitable Beneficiaries

Option 3 – Allocation Subtotal:	%

You may designate one or more charities to receive a lump-sum grant at the time of Legacy Plan activation (e.g., receipt of death certificate). The total percentage of DAF assets allocated to selected charities must equal 100%. Please make copies of this page if you wish to designate more than two charitable beneficiaries.

CHARITABLE BENEFICIARY 1

Charity Name	Tax ID number (if known)	**Allocation %**

Street Address	City	State	ZIP

Email	Phone

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3. Create a Legacy Plan (continued)

CHARITABLE BENEFICIARY 2

Charity Name	Tax ID number (if known)	**Allocation %**

Street Address	City	State	ZIP

Email	Phone

☐ **Option 4: Endowment Program ($100,000 minimum required)**

Option 4 – Allocation Subtotal for Endowment Program:	**%**
% of assets to be allocated annually to charities listed below:	**%**

You may designate one or more qualified charitable organizations. Please make copies of this page if you wish to designate more than two charitable organizations.

ENDOWMENT CHARITABLE ORGANIZATION 1

Organization Name	**Endowment Allocation %**

Street Address	City	State	ZIP

Email	Employer ID # (if known)	Phone

ENDOWMENT CHARITABLE ORGANIZATION 2

Organization Name	**Endowment Allocation %**

Street Address	City	State	ZIP

Email	Employer ID # (if known)	Phone

	%: OPTION 1 – ALLOCATION SUBTOTAL
	%: OPTION 2 – ALLOCATION SUBTOTAL
	%: OPTION 3 – ALLOCATION SUBTOTAL
	%: OPTION 4 – ALLOCATION SUBTOTAL

100% TOTAL

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4. Recommend an Investment Allocation

Before recommending an investment allocation, please review the ***Investment Descriptions and Performance*** document. This step is not required to establish your new DAF and may be completed at a later date. If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the Select Government Preferred Fund (SGPXX).

☐ Model Portfolios

If Model Portfolios are chosen, the total allocation on this form must equal 100%. Each selection must reflect a minimum allocation of 25%.

Impact Portfolio

_____ % Broad Social Impact – *Advances solutions to global challenges, including conservation, financial inclusion and access to healthcare. Growth risk profile.*

Actively Managed Portfolios: Using industry-leading mutual funds.

_____ % Conservative Portfolio

_____ % Moderate Portfolio

_____ % Growth Portfolio

Index Fund Portfolios: Using low-cost ETFs.

_____ % Conservative Index Portfolio

_____ % Moderate Index Portfolio

_____ % Growth Index Portfolio

Money Market Portfolios

_____ % Select Government Preferred Fund (SGPXX)

_____ % Select Treasury Preferred Fund (STPXX)

100% TOTAL

☐ Segregated Account – Financial Advisor Managed

Donors with accounts over $500,000 may recommend that a qualified financial advisor manage the DAF investments in a segregated account on a discretionary basis. For non-discretionary accounts, the minimum is $2 million. To establish an NPT segregated account, have your financial advisor contact NPT at (888) 878-7900 or npt@nptrust.org for the appropriate paperwork. NPT also offers access to certain private impact investment opportunities for segregated accounts.

Please Note: NPT's ***Investment Policy Guidelines*** apply to all segregated accounts. Non-traditional assets such as alternative or private investment funds are subject to certain investment minimums. For more information about minimums and fees for segregated accounts, please see ***A Guide to Your Donor-Advised Fund***.

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5. Add a Financial Advisor (Optional)

You may name a financial advisor (FA) to your donor-advised fund. An FA may have read-only account access or the authority to submit grant recommendations and investment allocations on behalf of the primary/joint advisors. (**Note:** FAs do not have independent advisory privileges to recommend grants or investments. They may only submit recommendations on behalf of the Primary and/or Joint Advisors).

Financial Advisor is authorized to:

☐ **Read-only Access:** Permits FA to view donor-advised fund information online **OR**

☐ **Full Access:** Permits FA to view donor-advised fund information online **AND** to submit investment and grant recommendations.

Financial Advisor Name

Prefix	First Name	MI	Last Name	Suffix

Company

Street Address	City	State	ZIP

Email	Phone

Is the FA the donor or Primary/Joint/Secondary Advisor or related to the donor or Primary/Joint/Secondary Advisor?

☐ Yes ☐ No

If Yes, how?

If an FA meets the IRS definition of a “disqualified person” of the DAF, the FA is not permitted to have discretionary investment authority over the DAF assets or receive compensation from the DAF. The individuals that are considered “disqualified” (and thus cannot receive compensation) are:

(i) a donor to the DAF (“Donor”)
(ii) a person with advisory privileges with respect to the DAF (“Advisor”)
(iii) a spouse of a Donor or an Advisor
(iv) a child, grandchild, great-grandchild, parent, grandparent, great-grandparent or sibling of a Donor or an Advisor (each a “Family Member”)
(v) a spouse of a Family Member.

Please note that NPT employees are ineligible to serve as FA for their own personal DAF or a family member’s DAF.

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6. Add a Team Member (Optional)

Team members are other associates who may work with your FA and may have access to the donor-advised fund. Please list the names of those team members, and the access level permitted for each. If there is more that one team member to add, please make additional copies of this page as needed.

Team Member

Prefix	First Name	MI	Last Name	Suffix

Company

Street Address | City | State | ZIP

Email | Phone

☐ **Read-only Access:** Permits team member to view donor-advised fund information online **OR**

☐ **Full Access:** Permits team member to view donor-advised fund information online **AND** to submit investment and grant recommendations

7. Acknowledgement of Terms

This section must be signed by the Primary and Joint Advisor, if both advisors are listed on this application.

I understand that my gifts of property are irrevocable and unconditional contributions when received and accepted by National Philanthropic Trust (NPT), and that NPT retains exclusive legal control over contributed assets. I acknowledge that I have read NPT's A Guide to Your Donor-Advised Fund and agree to the terms and/or conditions contained therein. Specifically, I acknowledge that the NPT Giving Fund is the default Legacy Plan option and that 100% of my DAF account balance will be paid to the NPT Giving Fund upon Legacy Plan activation unless I have selected a different Legacy Plan option. I certify that, to the best of my knowledge, all information in connection with this application is accurate and I will notify NPT in writing of any changes. Additionally, I understand, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

_____

Primary Advisor Signature

_____ _____

Primary Advisor Print Name | Date (MM/DD/YYYY)

_____

Joint Advisor Signature

_____ _____

Joint Advisor Print Name | Date (MM/DD/YYYY)

RETURN THIS COMPLETED FORM BY EMAIL OR MAIL TO: National Philanthropic Trust
2 Ash Street, Suite 3000, Conshohocken, PA 19428 | npt@nptrust.org

Next Steps

Please complete the Contribution Agreement to fund and establish your DAF. You may complete that process online at nptgivingpoint.org.

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