



# Delivery Instructions for Securities

Use this form to authorize the electronic transfer of a gift of stock or bonds held at your financial institution to National Philanthropic Trust (NPT); this form CANNOT be used to donate shares of mutual funds (please use NPT's Letter of Authorization). When completed and signed, this form will serve as your transfer instructions to the broker or firm holding the securities you want to donate to National Philanthropic Trust. Additional forms are available at [www.nptrust.org](http://www.nptrust.org). If you need assistance, call toll-free at (888) 878-7900 or send an email to [npt@nptrust.org](mailto:npt@nptrust.org).

## 1. Current Broker or Firm Information

_____		_____	
Name of the broker or firm holding your securities		Account #	
_____		_____	_____
Street Address		City/State	Zip
_____		_____	
Email		Primary Telephone #	

## 2. Instructions to the Broker's DTC/Free Delivery Department

Please accept these instructions as the authorization to transfer the assets specified below to National Philanthropic Trust's brokerage account. Important: Securities should be transferred in-kind and are not to be liquidated. Send the securities via DTC to UBS Financial Services.

<b>Brokerage Account #</b>	<b>Receiving Firm</b>	<b>DTC Clearing #</b>
<b>UT51093</b>	<b>UBS AG</b>	<b>0221</b>

_____	_____
Name of Security	# of Shares
_____	_____
Name of Security	# of Shares
_____	_____
Name of Security	# of Shares
_____	_____
Name of Security	# of Shares
_____	_____
Name of Security	# of Shares

**3. Signatures** (This section must be signed by all registered owners of the assets to be donated.)

I hereby irrevocably relinquish all rights, title, and interest to the assets specified in Section 2 of this document. I hereby acknowledge that I have read and understand the terms of the transfer of assets I have requested, and further acknowledge that the terms of this transfer are binding regardless of any other agreement between National Philanthropic Trust and myself.

\_\_\_\_\_  
Name of Owner (first, middle initial, last)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date (month, day, year)

\_\_\_\_\_  
Name of Joint Owner (first, middle initial, last)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date (month, day, year)

**Signature Guarantee (if required)**

Contact your broker to see if you are required to obtain a signature guarantee (a notary public cannot provide a signature guarantee). Note: National Philanthropic Trust cannot override your broker's requirements.

\_\_\_\_\_  
Name, Institution and Title

\_\_\_\_\_  
Signature of Authorized Officer

\_\_\_\_\_  
Date (month, day, year)

**Authorized Officer to Place Stamp  
Here (Medallion Required)**

**Applies to all signatures in Section 3.**

**Important:**

1. Send this completed form to the broker or firm listed in Section 1

**AND**

2. Return a copy of this completed form and other required documentation by mail or fax to:  
National Philanthropic Trust | 165 Township Line Road, Suite 1200 | Jenkintown, PA 19046 | Fax: (215) 277-3029