



Donor Application

For Individuals

Please complete this form and a **Contribution Agreement** to establish a donor-advised fund of \$25,000 or more with National Philanthropic Trust (NPT).

Recommend an investment allocation for your donor-advised fund from the options listed in Section 7. Before recommending an investment allocation, please review the **Investment Descriptions and Performance** for respective strategy descriptions.

Note: If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the Select Government Institutional Fund (SEGXX).

Additional forms are available at www.nptrust.org. If you need assistance, call (888) 878-7900 or send an email to npt@nptrust.org.

1. Donor-Advised Fund Information

Choose a name for your donor-advised fund. You may name it for yourself, for your family (*The Smith Family Charitable Fund*), in memory of someone, or for a particular charitable purpose (*Save the Rain Forests Fund*).

Donor-Advised Fund Name

2. Primary Advisor

Identify the Primary Advisor for the fund (typically, this is also the Primary Donor to the fund).

Mr. Mrs. Ms. Dr.

First Name, MI, Last Name

Year of Birth

Street Address

City/State

Zip

Email

Telephone

3. Joint Advisor

You may name an individual (such as a spouse, family member, friend or other) as Joint Advisor to your fund who also has the authority to recommend grants and investment allocations, view fund information and name successors. They will assume the role of Primary Advisor to the account in the event of the death of the Primary Advisor.

Mr. Mrs. Ms. Dr.

First Name, MI, Last Name

Year of Birth

Street Address

City/State

Zip

Email

Telephone

4. Secondary Advisor(s)

You may name Secondary Advisors to your fund who will have the authority to recommend grants. Secondary Advisors will not succeed the Primary and Joint Advisors unless they are also named as Successors in Section 6A. To indicate additional Secondary Advisors, you may attach a separate sheet.

Mr. Mrs. Ms. Dr.

First Name, MI, Last Name

Year of Birth

Street Address

City/State

Zip

Email

Telephone

Mr. Mrs. Ms. Dr.

First Name, MI, Last Name

Year of Birth

Street Address

City/State

Zip

Email

Telephone

5. Financial Advisor

You may name a financial advisor to your fund if you wish to do so. A financial advisor may be given read-only account access or you may assign a financial advisor to have authority to submit grant recommendations and investment allocations on your behalf.

Financial Advisor Name

Financial Institution

Street Address

City/State

Zip

Email

Telephone

Financial Advisor is authorized to:

Read-only Access: Permits financial advisor to view donor-advised fund information online.

Full Access: Permits financial advisor to submit grant recommendations and investment allocations.

Is the financial advisor the donor or primary/joint advisor or related to the donor or primary/joint advisor?

Yes No

If Yes, how? _____

Financial Advisors are ineligible to receive compensation as the FA on the account when the donor is the FA or a direct family member. The family members that are considered "disqualified" (and thus cannot receive compensation) are: a donor's spouse, parents, grandparents (and other lineal ancestors), siblings, children, grandchildren and great grandchildren, and the spouses any of the foregoing.

6. Legacy Plan Update(s)

The Primary and/or Joint donor-advised fund (DAF) advisor(s) may select any combination of three Legacy Plan options (subject to the qualifications noted below). Please designate the respective percentages of remaining DAF account assets that you would like distributed according to the three options upon the notification of the death or incapacity of the last primary or joint advisor. The total percentage of DAF assets allocated to selected Legacy Plan options must equal 100%.

OPTION 1: INDIVIDUAL SUCCESSORS	OPTION 2: CHARITABLE BENEFICIARIES	OPTION 3: ENDOWMENT PROGRAM (\$100,000 minimum required)*
Name up to four Individual Successor(s), each to be designated as a Primary Advisor on a new DAF account at the time of Legacy Plan activation (e.g., receipt of death certificate).	Designate one or more charities to receive a lump-sum grant at the time of Legacy Plan activation (e.g., receipt of death certificate). Minimum grant amount is \$250/per charity.	Select total percentage of DAF assets designated to Option 3 – Endowment Program.
Select percentage of DAF assets designated to each named Individual Successor.	Select percentage of DAF assets designated to each named Charitable Beneficiary.	Select the total percentage of Endowment Account assets to be allocated annually among all named beneficiaries.
If division of assets for Option 1 creates DAF account(s) with balance(s) below \$25,000, successor(s) will have 90 days to bring balance up to the \$25,000 DAF minimum or recommend grants to qualified charities.	If a designated charity is not eligible to receive a grant at the time of Legacy Plan activation, designated assets will be reallocated among the remaining charities**.	Designate one or more charities to receive an annual recurring grant and specify the percentage that each charity will receive from the Endowment Account balance. Allocation must equal 100%** . Minimum grant amount is \$250/per charity. Example: Charity #1 (40%), Charity #2 (60%).
		Assets allocated to the Endowment Account will be invested in the Conservative investment pool option available at the time of activation; trading will occur within 90 days of the receipt of written notice of the Legacy Plan activation (e.g., receipt of death certificate).
		When an Endowment Account balance reaches \$25,000, final lump-sum grant(s) will be made to designated charities**.

* \$100,000 minimum required at the time of Legacy Plan activation for Endowment Program eligibility. If available DAF assets are less than \$100,000, this Legacy Plan selection will revert to Option 2.

** If no designated charity is eligible to receive grants at the time of Legacy Plan activation, NPT will make a grant to qualified charitable organization(s) as similar as possible in mission and scope (as determined by NPT in its sole discretion) or will reserve the right to transfer the balance of the account to the NPT Giving Fund.

Option 1: Individual Successor(s)

Option 1 – Allocation Subtotal:	%
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You may designate up to four individuals. Please make copies of this page if you wish to designate more than two successors.

SUCCESSOR 1: Mr. Mrs. Ms. Dr.

First Name, MI, Last Name Allocation %

Street Address City/State Zip

Email Telephone (required)

6. Legacy Plan (continued)

SUCCESSOR 2: Mr. Mrs. Ms. Dr.

 First Name, MI, Last Name **Allocation %**

 Street Address City/State Zip

 Email Telephone (required)

Option 2: Charitable Beneficiaries

Option 2 – Allocation Subtotal:	%
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You may designate one or more charities. Please make copies of this page if you wish to designate more than two charitable beneficiaries.

CHARITABLE BENEFICIARY 1

 Charity Name **Allocation %**

 Street Address City/State Zip

 Email Employer ID # (if known) Telephone

CHARITABLE BENEFICIARY 2

 Charity Name **Allocation %**

 Street Address City/State Zip

 Email Employer ID # (if known) Telephone

Option 3: Endowment Program (\$100,000 minimum required)*

Option 3 – Allocation Subtotal for Endowment Program:	%
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% Of Assets to be allocated annually to charities listed below:	%
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You may designate one of more qualified charitable organizations. Please make copies of this page if you wish to designate more than two charitable organizations.

ENDOWMENT CHARITABLE ORGANIZATION 1

 Organization Name **Endowment Account Allocation %**

 Street Address City/State Zip

 Email Employer ID # (if known) Telephone

6. Legacy Plan (continued)

ENDOWMENT CHARITABLE ORGANIZATION 2

Organization Name _____ **Endowment Account Allocation %** _____

Street Address _____ City/State _____ Zip _____

Email _____ Employer ID # (if known) _____ Telephone _____

OPTION 1 – ALLOCATION SUBTOTAL (from page 3): % _____

OPTION 2 – ALLOCATION SUBTOTAL (from page 3): % _____

OPTION 3 – ALLOCATION DESIGNATION FOR ENDOWMENT PROGRAM SUBTOTAL: % _____

TOTAL: 100%

7. Investment Allocation – You can choose either the Model Portfolios or Segregated Account.

If Model Portfolios are chosen, the total allocation on this form must equal 100%. Each selection must reflect a minimum allocation of 25%.

Model Portfolios

Impact Portfolios

Using industry-leading mutual funds, exchange-traded funds (ETFs) and separately managed accounts (SMAs).

_____ % Economic Mobility – *Seeks to create economic opportunity for all with a focus on underserved communities. Moderate risk profile*

_____ % Environmental Stewardship – *Manages climate change risks and protects and conserves natural resources. Moderate risk profile*

_____ % Gender Lens – *Supports the advancement of women in the workplace and beyond. Moderate risk profile*

_____ % Broad Social Impact – *Advances solutions to global challenges, including conservation, financial inclusion and access to healthcare. Growth risk profile*

Actively Managed Portfolios

Using industry-leading mutual funds.

_____ % Conservative Portfolio

_____ % Moderate Portfolio

_____ % Growth Portfolio

Index Fund Portfolios

Using low-cost ETFs.

_____ % Conservative Index Portfolio

_____ % Moderate Index Portfolio

_____ % Growth Index Portfolio

Money Market Portfolios

_____ % Select Government Institutional Fund (SEGXX)

_____ % Select Treasury Institutional Fund (SETXX)

100% TOTAL

Segregated Account – Financial Advisor Managed

Donors with accounts over \$500,000 may suggest that a financial advisor recommend investments other than those NPT offers. A different fee schedule may apply.

To open a segregated account, please complete the **Account Opening** forms. If approved, your financial advisor will be required to complete NPT's Segregated Account Investment Information form. This will initiate NPT's process to establish an NPT Segregated Account. This form is available to financial advisors by contacting NPT at (888) 878-7900 or npt@nptrust.org. You can also request the applicable fee schedule. Please also note, NPT's Investment Policy Guidelines apply to all segregated accounts.

Segregated accounts with a balance below \$500,000 will be deemed to have a balance of \$500,000 for purposes of the charitable administrative fee calculation. In addition, NPT reserves the right to move segregated accounts that have a balance below \$500,000 into NPT's pooled investment options.

8. Acknowledgement of Terms (This section must be signed by the Primary and Joint Advisor, if one is listed.)

I understand that my gifts of property are irrevocable and unconditional contributions when received and accepted by National Philanthropic Trust (NPT), and that NPT retains exclusive legal control over contributed assets. I acknowledge that I have read the NPT Program Guide and agree to the terms and/or conditions contained therein. I certify that, to the best of my knowledge, all information in connection with this application is accurate and I will notify NPT in writing of any changes. Additionally, I understand, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

Primary Advisor Signature

Date (MM/DD/YYYY)

Joint Advisor Signature

Date (MM/DD/YYYY)

RETURN THIS COMPLETED FORM BY MAIL, FAX OR EMAIL TO: National Philanthropic Trust

165 Township Line Road, Suite 1200, Jenkintown, PA 19046 | Fax: (215) 277-3029 | npt@npitrust.org