



# Donor Application

For Individuals

Complete this form and a "Contribution Agreement" to establish a donor-advised fund of \$25,000 or more with National Philanthropic Trust (NPT).

Please recommend an investment allocation for your donor-advised fund by choosing either Option 1, 2, 3 or 4. Before recommending an investment allocation, please review the "Investment Descriptions & Options" form for respective strategy descriptions and performance. **Note: If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the UBS Select Government Institutional Fund (SEGXX).**

Additional forms are available at [www.nptrust.org](http://www.nptrust.org). If you need assistance, call toll-free at (888) 878-7900 or send an email to [npt@nptrust.org](mailto:npt@nptrust.org).

## 1. Donor-Advised Fund Information

Choose a name for your donor-advised fund. You may name it for yourself, for your family ("The Smith Family Charitable Fund"), in memory of someone, or for a particular charitable purpose ("Save the Rain Forests Fund").

\_\_\_\_\_  
Donor-Advised Fund Name

## 2. Primary Advisor

Identify the Primary Advisor for the fund (typically, this is also the Primary Donor to the fund).

Mr. Mrs. Ms. Dr.

\_\_\_\_\_  
First Name, MI, Last Name

\_\_\_\_\_  
Year of Birth

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City/State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Email

\_\_\_\_\_  
Primary Telephone #

## 3. Joint Advisor

You may name an individual (such as a spouse, family member, friend or other) as Joint Advisor to your fund who also has the authority to recommend grants and investment allocations, view fund information and name successors. They will assume the role of Primary Advisor to the account in the event of the death of the Primary Advisor.

Mr. Mrs. Ms. Dr.

\_\_\_\_\_  
First Name, MI, Last Name

\_\_\_\_\_  
Year of Birth

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City/State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Email

\_\_\_\_\_  
Primary Telephone #

## 4. Secondary Advisor(s)

You may name Secondary Advisors to your fund who will have the authority to recommend grants. Secondary Advisors will not succeed the Primary and Joint Advisors unless they are also named as Successors in Section 6A. To indicate additional Secondary Advisors, you may attach a separate sheet.

Mr. Mrs. Ms. Dr.

\_\_\_\_\_  
First Name, MI, Last Name \_\_\_\_\_  
Year of Birth

\_\_\_\_\_  
Street Address \_\_\_\_\_  
City/State \_\_\_\_\_  
Zip

\_\_\_\_\_  
Email \_\_\_\_\_  
Primary Telephone #

Mr. Mrs. Ms. Dr.

\_\_\_\_\_  
First Name, MI, Last Name \_\_\_\_\_  
Year of Birth

\_\_\_\_\_  
Street Address \_\_\_\_\_  
City/State \_\_\_\_\_  
Zip

\_\_\_\_\_  
Email \_\_\_\_\_  
Primary Telephone #

## 5. Financial Advisor

You may name a Financial Advisor to your fund if you wish to do so. A Financial Advisor may be given read-only account access or the authority to recommend investment allocations and recommend grants on the Primary/Joint Advisor's behalf.

\_\_\_\_\_  
Financial Advisor Name \_\_\_\_\_  
Financial Institution

\_\_\_\_\_  
Street Address \_\_\_\_\_  
City/State \_\_\_\_\_  
Zip

\_\_\_\_\_  
Email \_\_\_\_\_  
Primary Telephone #

Financial Advisor is authorized to:

Read-only Access: Permits Financial Advisor to view donor-advised fund information online.

Full Access: Permits Financial Advisor to recommend investment allocations and grants.

Is the Financial Advisor related to the Primary donor?    yes    no

If Yes, how? \_\_\_\_\_

Financial Advisors are ineligible to receive compensation as the FA on the account when the donor is the FA or a direct family member. The family members that are considered disqualified (and thus cannot receive compensation) are: a donor's spouse, ancestors, siblings, children, grandchildren, great grandchildren, and the spouses of siblings, children, grandchildren, and great grandchildren.

## 6. Legacy Plan Update(s)

The Primary and/or Joint donor-advised fund (DAF) advisor(s) may select any combination of three Legacy Plan options (subject to the qualifications noted below). Please designate the respective percentages of remaining DAF account assets that you would like distributed according to the three options upon the notification of the death or incapacity of the last primary or joint advisor. The total percentage of DAF assets allocated to selected Legacy Plan options must equal 100%.

OPTION 1: INDIVIDUAL SUCCESSORS	OPTION 2: CHARITABLE BENEFICIARIES	OPTION 3: ENDOWMENT PROGRAM (\$100,000 minimum required)*
Name up to four Individual Successor(s), each to be designated as a Primary Advisor on a new DAF account at the time of Legacy Plan activation (e.g., receipt of death certificate).	Designate one or more charities to receive a lump-sum grant at the time of Legacy Plan activation (e.g., receipt of death certificate). Minimum grant amount is \$250/per charity.	Select total percentage of DAF assets designated to Option 3 – Endowment Program.
Select percentage of DAF assets designated to each named Individual Successor.	Select percentage of DAF assets designated to each named Charitable Beneficiary.	Select the total percentage of Endowment Account assets to be allocated annually among all named beneficiaries.
If division of assets for Option 1 creates DAF account(s) with balance(s) below \$25,000, successor(s) will have 90 days to bring balance up to the \$25,000 DAF minimum or recommend grants to qualified charities.	If a designated charity is not eligible to receive a grant at the time of Legacy Plan activation, designated assets will be reallocated among the remaining charities**.	<p>Designate one or more charities to receive an annual recurring grant and specify the percentage that each charity will receive from the Endowment Account balance. Allocation must equal 100%***. Minimum grant amount is \$250/per charity.  <b>Example: Charity #1 (40%), Charity #2 (60%).</b></p> <p>Assets allocated to the Endowment Account will be invested in the Conservative investment pool option available at the time of activation; trading will occur within 90 days of the receipt of written notice of the Legacy Plan activation (e.g., receipt of death certificate).</p> <p>When an Endowment Account balance reaches \$25,000, final lump-sum grant(s) will be made to designated charities**.</p>

\* \$100,000 minimum required at the time of Legacy Plan activation for Endowment Program eligibility. If available DAF assets are less than \$100,000, this Legacy Plan selection will revert to Option 2.

\*\* If no designated charity is eligible to receive grants at the time of Legacy Plan activation, NPT will make a grant to qualified charitable organization(s) as similar as possible in mission and scope (as determined by NPT in its sole discretion) or will reserve the right to transfer the balance of the account to the NPT Giving Fund.

### Option 1: Individual Successor(s)

<b>Option 1 – Allocation Subtotal:</b>	<b>%</b>
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You may designate up to four individuals. Please make copies of this page if you wish to designate more than two successors.

**SUCCESSOR 1:**    Mr.    Mrs.    Ms.    Dr.

\_\_\_\_\_  
First Name, MI, Last Name

\_\_\_\_\_  
Allocation %

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City/State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Email

\_\_\_\_\_  
Primary Telephone # (required)

## 6. Legacy Plan (continued)

SUCCESSOR 2:    Mr.    Mrs.    Ms.    Dr.

First Name, MI, Last Name	<b>Allocation %</b>
Street Address	City/State      Zip
Email	Primary Telephone # (required)

### Option 2: Charitable Beneficiaries

<b>Option 2 – Allocation Subtotal:</b>	<b>%</b>
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You may designate one or more charities. Please make copies of this page if you wish to designate more than two charitable beneficiaries.

#### CHARITABLE BENEFICIARY 1

Charity Name	<b>Allocation %</b>
Street Address	City/State      Zip
Email	Employer ID # (if known)      Primary Telephone #

#### CHARITABLE BENEFICIARY 2

Charity Name	<b>Allocation %</b>
Street Address	City/State      Zip
Email	Employer ID # (if known)      Primary Telephone #

### Option 3: Endowment Program (\$100,000 minimum required)\*

<b>Option 3 – Allocation Subtotal for Endowment Program:</b>	<b>%</b>
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<b>% Of Assets to be allocated annually to charities listed below:</b>	<b>%</b>
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You may designate one of more qualified charitable organizations. Please make copies of this page if you wish to designate more than two charitable organizations.

#### ENDOWMENT CHARITABLE ORGANIZATION 1

Organization Name	<b>Endowment Account Allocation %</b>
Street Address	City/State      Zip
Email	Employer ID # (if known)      Primary Telephone #

## 6. Legacy Plan (continued)

### ENDOWMENT CHARITABLE ORGANIZATION 2

Organization Name _____		Endowment Account Allocation % _____
Street Address _____	City/State _____	Zip _____
Email _____	Employer ID # (if known) _____	Primary Telephone # _____

OPTION 1 – ALLOCATION SUBTOTAL (from page 3): % \_\_\_\_\_

OPTION 2 – ALLOCATION SUBTOTAL (from page 3): % \_\_\_\_\_

OPTION 3 – ALLOCATION DESIGNATION FOR ENDOWMENT PROGRAM SUBTOTAL: % \_\_\_\_\_

**TOTAL: 100%**

## 7. Investment Allocation

### Option 1: Actively Managed Model Portfolios

Using best-in-class mutual funds, these actively managed strategies have the potential to out-perform the market.

- Conservative Portfolio (conservative)
- Balanced Portfolio (moderate)
- Total Return Portfolio (moderate growth)
- Growth Portfolio (growth)

### Option 2: Index Fund Model Portfolios

Using low-cost exchange-traded funds (ETFs), these strategies provide an economical way to achieve a comparable return to the market.

- Conservative Portfolio (conservative)
- Balanced Portfolio (moderate)
- Total Return Portfolio (moderate growth)
- Growth Portfolio (growth)

## 7. Investment Allocation (continued)

### Option 3: Personal Giving Strategy

Implement a customized giving strategy from among the following best-in-class mutual funds.

#### U.S. EQUITIES

- \_\_\_\_\_ % iShares Russell 1000 ETF (IWB)
- \_\_\_\_\_ % JP Morgan Mid Cap Value Fund (JMVSX)
- \_\_\_\_\_ % Janus Henderson Fund (JMGRX)
- \_\_\_\_\_ % Fidelity Advisors Small Cap Value Fund (FCVIX)
- \_\_\_\_\_ % T. Rowe Price QM Small Cap Growth Equity Fund (PRDSX)

#### INTERNATIONAL EQUITIES

- \_\_\_\_\_ % American Century Int'l Core Equity Fund (ACIUX)
- \_\_\_\_\_ % WCM Focused Int'l Growth Fund (WCMIX)
- \_\_\_\_\_ % Aberdeen Emerging Markets Fund (ABEMX)
- \_\_\_\_\_ % Baron Emerging Markets Fund (BEXIX)

#### FIXED INCOME

- \_\_\_\_\_ % Calvert Ultra-Short Duration Income Fund (CULIX)
- \_\_\_\_\_ % Loomis Sayles Core Plus Bond Fund (NERYX)
- \_\_\_\_\_ % Western Asset Core Plus Bond Fund (WACPX)

#### REAL ESTATE

- \_\_\_\_\_ % MFS Global Real Estate Fund (MGLIX)
- \_\_\_\_\_ % Principal Diversified Real Assets Fund (PDRDX)

#### MONEY MARKET

- \_\_\_\_\_ % UBS Select Government Institutional Fund (SEGXX)
- \_\_\_\_\_ % UBS Select Treasury Institutional Fund (SETXX)

#### IMPACT INVESTMENTS\*

- \_\_\_\_\_ % iShares MSCI EAFE ESG Optimized ETF (ESGD)  
*Impact area: Environmental, Social and Governance (ESG) among companies in Europe, Australia, Asia and the Far East*
- \_\_\_\_\_ % iShares MSCI KLD 400 Social ETF (DSI)  
*Impact area: ESG among U.S. companies*
- \_\_\_\_\_ % iShares MSCI USA ESG Select ETF (SUSA)  
*Impact area: ESG, excluding tobacco, among U.S. companies*
- \_\_\_\_\_ % iShares MSCI ACWI Low Carbon Target ETF (CRBN)  
*Impact area: Carbon exposure among global companies*
- \_\_\_\_\_ % iShares MSCI Global Impact ETF (MPCT)  
*Impact area: U.N. Sustainable Goals among global companies*
- \_\_\_\_\_ % SPDR SSGA Gender Diversity Index ETF (SHE)  
*Impact area: Gender diversity among U.S. companies*

**100%**

### Option 4: Segregated Account – Financial Advisor Managed

Accounts over \$500,000 may choose to retain a financial advisor to recommend investment other than those offered by NPT. A different fee schedule may apply.

To open a segregated account complete the Account Opening forms. Your financial advisor should also obtain a copy of NPT's Segregated Account Investment Information form to initiate the approval process for establishment of an NPT Segregated Account and request the applicable fee schedule. This form is available to financial advisors by contacting NPT at (888) 878-7900 or [npt@nptrust.org](mailto:npt@nptrust.org). Please also note, NPT's Investment Policy Guidelines apply to all such accounts.

Segregated accounts using a customized allocation are subject to minimum charitable administration fees of 80bps if the balance falls below \$500,000 at any month's end.

## 8. Acknowledgement of Terms (This section must be signed by the Primary and Joint Advisor, if one is listed.)

I understand that my gifts of property are irrevocable and unconditional contributions when received and accepted by National Philanthropic Trust (NPT), and that NPT retains exclusive legal control over contributed assets. I acknowledge that I have read the NPT Program Guide and agree to the terms and/or conditions contained therein. I certify that, to the best of my knowledge, all information in connection with this application is accurate and I will notify NPT in writing of any changes.

\_\_\_\_\_  
Primary Advisor Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Joint Advisor Signature

\_\_\_\_\_  
Date

## 9. Return this completed form by mail, fax or email:

National Philanthropic Trust | 165 Township Line Road, Suite 1200, Jenkintown, PA 19046  
Fax: (215) 277-3029 | [npt@nptrust.org](mailto:npt@nptrust.org)