



To establish a donor-advised fund with National Philanthropic Trust (NPT), please first refer to NPT's **A Guide to Your Donor-Advised Fund** for an overview of the program and policies.

You may complete the donor application either by using this form or through the online application, beginning with *New User Registration*, at [nptgivingpoint.org](http://nptgivingpoint.org). Following completion of the donor application, please submit a **Contribution Agreement**, also available at [nptgivingpoint.org](http://nptgivingpoint.org) to establish a donor-advised fund of \$10,000 or more.

If you need assistance, call (888) 878-7900 or send an email to [npt@nptrust.org](mailto:npt@nptrust.org).

## 1. Name Your Donor-Advised Fund

Choose a name for your donor-advised fund. You may name it for yourself, for your family (*The Smith Family Charitable Fund*), in memory of someone, or for a particular charitable purpose (*Save the Rain Forests Fund*).

Donor-Advised Fund Name

## 2. Name Your Advisors

### Primary Advisor (Required)

The primary advisor is typically the primary donor to the donor-advised fund. The primary advisor may recommend grants and asset allocations, view fund information and name successors. The primary advisor may designate a joint advisor who will have the same level of access to and involvement with the donor-advised fund.

Prefix	First Name	MI	Last Name	Suffix	Year of Birth
Street Address			City	State	ZIP
Email			Phone		

### Joint Advisor (Optional)

A joint advisor has the authority to recommend grants and asset allocations, view fund information and name successors. The joint advisor remains an advisor to the fund in the event of the primary advisor's death. A primary advisor typically names a spouse, partner, family member or friend as a joint advisor to the donor-advised fund.

Prefix	First Name	MI	Last Name	Suffix	Year of Birth
Street Address			City	State	ZIP
Email			Phone		



### Secondary Advisor (Optional)

You may name one or more individuals, such as a relative or friend, as a secondary advisor. Secondary advisors will not succeed the primary nor joint advisors unless specifically named as successors. Secondary advisors may recommend grants and investment allocations. Please make copies of this page if you wish to designate more than two secondary advisors.

Prefix      First Name                      MI              Last Name                                              Suffix      Year of Birth

Street Address                                                                                              City                                              State      ZIP

Email                                                                                              Phone

#### Secondary Advisor is authorized to:

Read-only Access: Permits the authorized party to view donor-advised fund information online.

Full Access: Permits the authorized party to recommend investments and grants.

Prefix      First Name                      MI              Last Name                                              Suffix      Year of Birth

Street Address                                                                                              City                                              State      ZIP

Email                                                                                              Phone

#### Secondary Advisor is authorized to:

Read-only Access: Permits the authorized party to view donor-advised fund information online.

Full Access: Permits the authorized party to recommend investments and grants.

### 3. Create a Legacy Plan (Optional)

The primary and/or joint advisor may select any combination of three Legacy Plan options (subject to the qualifications noted below). Please designate the respective percentages of remaining DAF account assets that you would like distributed according to the three options upon the notification of the death or incapacity of the last primary or joint advisor. The total percentage of DAF assets allocated to selected Legacy Plan options must equal 100%.

OPTION 1: INDIVIDUAL SUCCESSORS	OPTION 2: CHARITABLE BENEFICIARIES	OPTION 3: ENDOWMENT PROGRAM (\$100,000 minimum required)*
Name up to four Individual Successor(s), each to be designated as a primary advisor on a new DAF account at the time of Legacy Plan activation (e.g., receipt of death certificate).	Designate one or more charities to receive a lump-sum grant at the time of Legacy Plan activation (e.g., receipt of death certificate). Minimum grant amount is \$250/per charity.	Select total percentage of DAF assets designated to Option 3 – Endowment Program.
Select percentage of DAF assets designated to each named individual successor.	Select percentage of DAF assets designated to each named Charitable Beneficiary.	Select the total percentage of Endowment Account assets to be allocated annually among all named beneficiaries.
If division of assets for Option 1 creates DAF account(s) with balance(s) below \$10,000, successor(s) will have 90 days to bring balance up to the \$10,000 DAF minimum or recommend grants to qualified charities.	If a designated charity is not eligible to receive a grant at the time of Legacy Plan activation, designated assets will be reallocated among the remaining charities.**	Designate one or more charities to receive an annual recurring grant and specify the percentage that each charity will receive from the Endowment Account balance. Allocation must equal 100%. Minimum grant amount is \$250/per charity. <b>Example:</b> Charity #1 (40%), Charity #2 (60%).
<p>* \$100,000 minimum required at the time of Legacy Plan activation for Endowment Program eligibility. If available DAF assets are less than \$100,000, this Legacy Plan selection will revert to Option 2.</p> <p>** If no designated charity is eligible to receive grants at the time of Legacy Plan activation, NPT will make a grant to qualified charitable organization(s) as similar as possible in mission and scope (as determined by NPT in its sole discretion) or will reserve the right to transfer the balance of the account to the NPT Giving Fund.</p>		<p>Assets allocated to the Endowment Account will be invested in the Conservative investment pool option available at the time of activation; trading will occur within 90 days of the receipt of written notice of the Legacy Plan activation (e.g., receipt of death certificate).</p> <p>When an Endowment Account balance reaches \$25,000, final lump-sum grant(s) will be made to designated charities.**</p>



### 3. Create a Legacy Plan (continued)

#### Option 1: Successor Advisor

<b>Option 1 – Allocation Subtotal:</b>	<b>%</b>
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You may designate up to four individuals. Please make copies of this page if you wish to designate more than two successors.

#### SUCCESSOR 1

Prefix	First Name	MI	Last Name	Suffix	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone
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#### SUCCESSOR 2

Prefix	First Name	MI	Last Name	Suffix	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone
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#### Option 2: Charitable Beneficiaries

<b>Option 2 – Allocation Subtotal:</b>	<b>%</b>
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You may designate one or more charities. Please make copies of this page if you wish to designate more than two charitable beneficiaries.

#### CHARITABLE BENEFICIARY 1

Charity Name	Tax ID number (if known)	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone
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#### CHARITABLE BENEFICIARY 2

Charity Name	Tax ID number (if known)	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone
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### 3. Create a Legacy Plan (continued)

#### Option 3: Endowment Program (\$100,000 minimum required)

<b>Option 3 – Allocation Subtotal for Endowment Program:</b>	<b>%</b>
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<b>% of assets to be allocated annually to charities listed below:</b>	<b>%</b>
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You may designate one or more qualified charitable organizations. Please make copies of this page if you wish to designate more than two charitable organizations.

#### ENDOWMENT CHARITABLE ORGANIZATION 1

Organization Name	<b>Endowment Allocation %</b>
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Street Address	City	State	ZIP
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Email	Employer ID # (if known)	Phone
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#### ENDOWMENT CHARITABLE ORGANIZATION 2

Organization Name	<b>Endowment Allocation %</b>
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Street Address	City	State	ZIP
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Email	Employer ID # (if known)	Phone
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**OPTION 1 – ALLOCATION SUBTOTAL: %**

**OPTION 2 – ALLOCATION SUBTOTAL: %**

**OPTION 3 – ALLOCATION SUBTOTAL: %**

**TOTAL: 100%**



#### 4. Select an Investment Allocation

**Note: Assets will be allocated to a default option if a selection is not made.**

Before recommending an investment allocation, please review the *Investment Descriptions and Performance* document. This step is not required to establish your new DAF and may be completed at a later date. If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the Select Government Institutional Fund (SEGXX).

##### **Model Portfolios**

If Model Portfolios are chosen, the total allocation on this form must equal 100%. Each selection must reflect a minimum allocation of 25%.

**Impact Portfolios:** Using industry-leading mutual funds, exchange-traded funds (ETFs), separately managed accounts (SMAs) and/or alternative investment funds.

*% Equity and Inclusion – Seeks to narrow inequalities in society by creating economic opportunity that advances equity and inclusion*

*% Environmental Stewardship – Manages climate change risks and protects and conserves natural resources. Moderate risk profile*

*% Gender Lens – Supports the advancement of women in the workplace and beyond. Moderate risk profile*

*% Broad Social Impact – Advances solutions to global challenges, including conservation, financial inclusion and access to healthcare. Growth risk profile*

**Actively Managed Portfolios:** Using industry-leading mutual funds.

*% Conservative Portfolio*

*% Moderate Portfolio*

*% Growth Portfolio*

**Index Fund Portfolios:** Using low-cost ETFs.

*% Conservative Index Portfolio*

*% Moderate Index Portfolio*

*% Growth Index Portfolio*

##### **Money Market Portfolios**

*% Select Government Institutional Fund (SEGXX)*

*% Select Treasury Institutional Fund (SETXX)*

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## **100% TOTAL**

##### **Segregated Account – Financial Advisor Managed**

Donors with accounts over \$500,000 may suggest that a financial advisor recommend investments other than those NPT offers. To establish an NPT Segregated Account, have your financial advisor contact NPT at (888) 878-7900 or [npt@nptrust.org](mailto:npt@nptrust.org) for the appropriate paperwork. NPT also offers access to certain private impact investment opportunities for Segregated Accounts. If you are interested in learning more about our Customized Impact Investment offering, please visit [nptrust.org/customized-impact-investing](https://nptrust.org/customized-impact-investing).

**Please Note:** NPT's Investment Policy Guidelines apply to all segregated accounts. Segregated accounts with a balance below \$500,000 will be deemed to have a balance of \$500,000 for purposes of the charitable administrative fee calculation. In addition, NPT reserves the right to move segregated accounts that have a balance below \$500,000 into NPT's pooled investment options.



## 5. Add a Financial Advisor (Optional)

You may name a financial advisor (FA) to your donor-advised fund. An FA may have read-only account access or the authority to submit grant recommendations and investment allocations on behalf of the primary/joint advisors.

Financial Advisor is authorized to:

Read-only Access: Permits FA to view donor-advised fund information online.

Full Access: Permits FA to submit grant recommendations and investment allocations.

### Financial Advisor Name

Prefix	First Name	MI	Last Name	Suffix
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Company

Street Address	City	State	ZIP
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Email	Phone
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Is the FA the donor or primary/joint advisor or related to the donor or primary/joint advisor?

Yes      No

If Yes, how?

Financial Advisors are ineligible to receive compensation when the donor is the FA or a direct family member. The family members that are considered "disqualified" (and thus cannot receive compensation) are: a donor's spouse, parents, grandparents (and other lineal ancestors), siblings, children, grandchildren and great grandchildren, and the spouses any of the foregoing.

## 6. Acknowledgement of Terms

This section must be signed by the Primary and Joint Advisor, if both advisors are listed on this application.

I understand that my gifts of property are irrevocable and unconditional contributions when received and accepted by National Philanthropic Trust (NPT), and that NPT retains exclusive legal control over contributed assets.

I acknowledge that I have read NPT's **A Guide to Your Donor-Advised Fund** and agree to the terms and/or conditions contained therein. I certify that, to the best of my knowledge, all information in connection with this application is accurate and I will notify NPT in writing of any changes. Additionally, I understand, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

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**Primary Advisor Signature**

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Primary Advisor Print Name

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Date (MM/DD/YYYY)

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**Joint Advisor Signature**

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Joint Advisor Print Name

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Date (MM/DD/YYYY)

**RETURN THIS COMPLETED FORM BY EMAIL, FAX OR MAIL TO: National Philanthropic Trust**

165 Township Line Road, Suite 1200, Jenkintown, PA 19046 | Fax: (215) 277-3029 | [npt@nptrust.org](mailto:npt@nptrust.org)

### Next Steps

Please complete the **Contribution Agreement** to fund and establish your DAF. You may complete that process online at [nptgivingpoint.org](https://nptgivingpoint.org).

