



# Donor Application

For Individuals

To establish a donor-advised fund with National Philanthropic Trust (NPT), please first refer to NPT's [A Guide to Your Donor-Advised Fund](#) for an overview of the program and policies.

You may complete the donor application either by using this form or through the online application, beginning with *New User Registration*, at [nptgivingpoint.org](http://nptgivingpoint.org). Following completion of the donor application, please submit a Contribution Agreement, also available at [nptgivingpoint.org](http://nptgivingpoint.org) to establish a donor-advised fund of \$10,000 or more.

If you need assistance, call (888) 878-7900 or send an email to [npt@nptrust.org](mailto:npt@nptrust.org).

## 1. Name Your Donor-Advised Fund

Choose a name for your donor-advised fund. You may name it for yourself, for your family (*The Smith Family Charitable Fund*), in memory of someone, or for a particular charitable purpose (*Save the Rain Forests Fund*).

Donor-Advised Fund Name

## 2. Name Your Advisors

### Primary Advisor (Required)

The primary advisor is typically the primary donor to the donor-advised fund. The primary advisor may recommend grants and investment allocations, view fund information and name successors. The primary advisor may designate a joint advisor who will have the same level of access to and involvement with the donor-advised fund.

Prefix      First Name                      MI              Last Name    Suffix              Year of Birth

Street Address    City    State              ZIP

Country    Email    Phone (Required for online access)

### Joint Advisor (Optional)

A joint advisor has the authority to recommend grants and investment allocations, view fund information and name successors. The joint advisor remains an advisor to the fund in the event of the primary advisor's death. A primary advisor typically names a spouse, partner, family member or friend as a joint advisor to the donor-advised fund.

Prefix      First Name                      MI              Last Name    Suffix              Year of Birth

Street Address    City    State              ZIP

Country    Email    Phone (Required for online access)



### Secondary Advisor (Optional)

You may name one or more individuals, such as a relative or friend, as a secondary advisor. Secondary advisors will not succeed the primary nor joint advisors unless specifically named as successors. Secondary advisors with full access may recommend grants and investment allocations. Please make copies of this page if you wish to designate more than two secondary advisors.

Prefix      First Name                      MI              Last Name    Suffix      Year of Birth

Street Address    City    State      ZIP

Country    Email    Phone

### Secondary Advisor is authorized to:

Read-only Access: Permits the authorized party to view donor-advised fund information online OR  
 Full Access: Permits the authorized party to view donor-advised fund information online AND to recommend investments and grants.

Prefix      First Name                      MI              Last Name    Suffix      Year of Birth

Street Address    City    State      ZIP

Country    Email    Phone

### Secondary Advisor is authorized to:

Read-only Access: Permits the authorized party to view donor-advised fund information online OR  
 Full Access: Permits the authorized party to view donor-advised fund information online AND to recommend investments and grants.

## 3. Create a Legacy Plan (Optional)

The primary and/or joint advisor may select any combination of three Legacy Plan options (subject to the qualifications noted below). Please designate the respective percentages of remaining DAF account assets that you would like distributed according to the three options upon the notification of the death or incapacity of the last primary or joint advisor. The total percentage of DAF assets allocated to selected Legacy Plan options must equal 100%.

OPTION 1: SUCCESSOR ADVISORS	OPTION 2: CHARITABLE BENEFICIARIES	OPTION 3: ENDOWMENT PROGRAM (\$100,000 minimum required)*
Name up to four successor advisor(s), each to be designated as a primary advisor on a new DAF account at the time of Legacy Plan activation (e.g., receipt of death certificate).	Designate one or more charities to receive a lump-sum grant at the time of Legacy Plan activation (e.g., receipt of death certificate). Minimum grant amount is \$250/per charity.	Select total percentage of DAF assets designated to Option 3 – Endowment Program.
Select percentage of DAF assets designated to each named successor advisor.	Select percentage of DAF assets designated to each named Charitable Beneficiary.	Select the total percentage of Endowment Account assets to be allocated annually among all named beneficiaries.
If division of assets for Option 1 creates DAF account(s) with balance(s) below \$10,000, successor(s) will have 90 days to bring balance up to the \$10,000 DAF minimum or recommend grants to qualified charities.	If a designated charity is not eligible to receive a grant at the time of Legacy Plan activation, designated assets will be reallocated among the remaining charities.**	Designate one or more charities to receive an annual recurring grant and specify the percentage that each charity will receive from the Endowment Account balance. Allocation must equal 100%. Minimum grant amount is \$250/per charity. <b>Example:</b> Charity #1 (40%), Charity #2 (60%).
<p>* \$100,000 minimum required at the time of Legacy Plan activation for Endowment Program eligibility. If available DAF assets are less than \$100,000, this Legacy Plan selection will revert to Option 2.</p> <p>** If no designated charity is eligible to receive grants at the time of Legacy Plan activation, NPT will make a grant to qualified charitable organization(s) as similar as possible in mission and scope (as determined by NPT in its sole discretion) or will reserve the right to transfer the balance of the account to the NPT Giving Fund.</p>		Assets allocated to the Endowment Account will be invested in the Conservative investment pool option available at the time of activation; trading will occur within 90 days of the receipt of written notice of the Legacy Plan activation (e.g., receipt of death certificate).
		When an Endowment Account balance reaches \$25,000, final lump-sum grant(s) will be made to designated charities.**



### 3. Create a Legacy Plan (continued)

#### Option 1: Successor Advisor

<b>Option 1 – Allocation Subtotal:</b>	<b>%</b>
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You may designate up to four individuals. Please make copies of this page if you wish to designate more than two successors.

#### SUCCESSOR 1

Prefix	First Name	MI	Last Name	Suffix	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone	Year of Birth
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#### SUCCESSOR 2

Prefix	First Name	MI	Last Name	Suffix	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone	Year of Birth
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#### Option 2: Charitable Beneficiaries

<b>Option 2 – Allocation Subtotal:</b>	<b>%</b>
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You may designate one or more charities. Please make copies of this page if you wish to designate more than two charitable beneficiaries.

#### CHARITABLE BENEFICIARY 1

Charity Name	Tax ID number (if known)	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone
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#### CHARITABLE BENEFICIARY 2

Charity Name	Tax ID number (if known)	<b>Allocation %</b>
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Street Address	City	State	ZIP
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Email	Phone
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### 3. Create a Legacy Plan (continued)

#### Option 3: Endowment Program (\$100,000 minimum required)

<b>Option 3 – Allocation Subtotal for Endowment Program:</b>	<b>%</b>
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<b>% of assets to be allocated annually to charities listed below:</b>	<b>%</b>
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You may designate one or more qualified charitable organizations. Please make copies of this page if you wish to designate more than two charitable organizations.

#### ENDOWMENT CHARITABLE ORGANIZATION 1

Organization Name				<b>Endowment Allocation %</b>
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Street Address	City	State	ZIP
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Email	Employer ID # (if known)	Phone
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#### ENDOWMENT CHARITABLE ORGANIZATION 2

Organization Name				<b>Endowment Allocation %</b>
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Street Address	City	State	ZIP
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Email	Employer ID # (if known)	Phone
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**OPTION 1 – ALLOCATION SUBTOTAL: %**

**OPTION 2 – ALLOCATION SUBTOTAL: %**

**OPTION 3 – ALLOCATION SUBTOTAL: %**

**TOTAL: 100%**



## 4. Recommend an Investment Allocation

Before recommending an investment allocation, please review the [Investment Descriptions and Performance](#) document. This step is not required to establish your new DAF and may be completed at a later date. If no investment allocation is selected at the time a new account is funded, contributed assets will be allocated to the Select Government Preferred Fund (SGPXX).

### Model Portfolios

If Model Portfolios are chosen, the total allocation on this form must equal 100%. Each selection must reflect a minimum allocation of 25%.

**Impact Portfolios:** Using industry-leading mutual funds, exchange-traded funds (ETFs), separately managed accounts (SMAs) and/or alternative investment funds.

Equity and Inclusion – *Seeks to narrow inequalities in society by creating economic opportunity that advances equity and inclusion*

Environmental Stewardship – *Manages climate change risks and protects and conserves natural resources. Moderate risk profile*

Gender Lens – *Supports the advancement of women in the workplace and beyond. Moderate risk profile*

Broad Social Impact – *Advances solutions to global challenges, including conservation, financial inclusion and access to healthcare. Growth risk profile*

**Actively Managed Portfolios:** Using industry-leading mutual funds.

Conservative Portfolio

Moderate Portfolio

Growth Portfolio

**Index Fund Portfolios:** Using low-cost ETFs.

Conservative Index Portfolio

Moderate Index Portfolio

Growth Index Portfolio

### Money Market Portfolios

Select Government Preferred Fund (SGPXX)

Select Treasury Preferred Fund (STPXX)

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## 100% TOTAL

### Segregated Account – Financial Advisor Managed

Donors with accounts over \$500,000 may recommend that a qualified financial advisor manage the DAF investments in a segregated account on a discretionary basis. For non-discretionary accounts, the minimum is \$2 million. To establish an NPT segregated account, have your financial advisor contact NPT at (888) 878-7900 or [npt@nptrust.org](mailto:npt@nptrust.org) for the appropriate paperwork. NPT also offers access to certain private impact investment opportunities for segregated accounts. If you are interested in learning more about our Customized Impact Investment offering, please visit [nptrust.org/customized-impact-investing](https://www.nptrust.org/customized-impact-investing).

**Please Note:** NPT's Investment Policy Guidelines apply to all segregated accounts. Non-traditional assets such as alternative or private investment funds are subject to certain investment minimums. For more information about minimums and fees for segregated accounts, please see A Guide to Your Donor-Advised Fund.



## 5. Add a Financial Advisor (Optional)

You may name a financial advisor (FA) to your donor-advised fund. An FA may have read-only account access or the authority to submit grant recommendations and investment allocations on behalf of the primary/joint advisors. (Note: FAs do not have independent advisory privileges to recommend grants or investments. They may only submit recommendations on behalf of the primary and/or joint advisors).

Financial Advisor is authorized to:

Read-only Access: Permits FA to view donor-advised fund information online OR

Full Access: Permits FA to view donor-advised fund information online AND to submit grant recommendations and investment allocations.

### Financial Advisor Name

Prefix    First Name                                  MI                                  Last Name                                  Suffix

Company

Street Address    City                                  State                  ZIP

Email    Phone

Is the FA the donor or primary/joint advisor or related to the donor or primary/joint advisor?

Yes                  No

If Yes, how?

FAs are ineligible to receive compensation when the FA is the donor or a direct family member of the donor. The individuals that are considered “disqualified” (and thus cannot receive compensation) are: (i) a donor to the DAF (“Donor”), (ii) a person with advisory privileges with respect to the DAF (“Advisor”), (iii) a spouse of a Donor or an Advisor, (iv) a child, grandchild, great-grandchild, ancestor or sibling of a Donor or an Advisor (each a “Family Member”), (v) a spouse of a Family Member.



## 6. Acknowledgement of Terms

This section must be signed by the primary and joint advisor, if both advisors are listed on this application.

I understand that my gifts of property are irrevocable and unconditional contributions when received and accepted by National Philanthropic Trust (NPT), and that NPT retains exclusive legal control over contributed assets. I acknowledge that I have read NPT's [A Guide to Your Donor-Advised Fund](#) and agree to the terms and/or conditions contained therein. I certify that, to the best of my knowledge, all information in connection with this application is accurate and I will notify NPT in writing of any changes. Additionally, I understand, in accordance with NPT's Know Your Client/Anti-Money Laundering Procedures, that NPT may obtain, record and verify information that identifies each person who makes a contribution to a donor-advised fund at NPT.

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### **Primary Advisor Signature**

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Primary Advisor Print Name

Date (MM/DD/YYYY)

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### **Joint Advisor Signature**

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Joint Advisor Print Name

Date (MM/DD/YYYY)

**RETURN THIS COMPLETED FORM BY EMAIL OR MAIL TO: National Philanthropic Trust**  
165 Township Line Road, Suite 1200, Jenkintown, PA 19046 | [npt@nptrust.org](mailto:npt@nptrust.org)

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### **Next Steps**

Please complete the Contribution Agreement to fund and establish your DAF. You may complete that process online at [nptgivingpoint.org](http://nptgivingpoint.org).

